

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Roger L. Schultz

Application No.:

09/921,118

Filed:

August 2, 2001

TC/A.U.:

2635

Examiner:

Dang, Hung Q.

Docket No.:

990114 U1 USA

Customer No.:
Confirmation No.:

1224 7076

Confirma
Title:

ADAPTIVE ACOUSTIC TRANSMITTER

CONTROLLER APPARATUS AND METHOD

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the provisions of 37 CFR " 1.56, 1.97, and 1.98 and MPEP 609, Applicant submits herewith a completed Supplemental Form PTO/SB/08A, "Supplemental Information Disclosure Statement" under 37 CFR 1.97(c) together with a \$180.00 fee under 37 CFR 1.17(p).

Consideration by the Examiner of each of the documents listed on Supplemental Form PTO/SB/08A is respectfully requested. Applicant respectfully requests that a copy of Supplemental Form PTO/SB/08A, as considered and initialed by the Examiner, be returned to the undersigned with the next Official Communication.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made. 37 CFR 1.97(g). Furthermore, the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that the information cited in this Supplemental Statement is, or is considered to be, prior art, analogous art, or material to patentability of this application, but the information has been cited to make it clear beyond all doubt that Applicant's duty of disclosure has been complied with.

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The Commissioner for Patents is hereby authorized to charge any additional fees ing to this Supplemental Information Disclosure Statement or credit any overpayment to Deposit Account No. 50-3037. A duplicate copy of this fee authorization sheet is enclosed.

Date: January 18, 2005

EXPRESS MAIL CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service via Express Mail Label Number EV 431 690 332 US to the Commissioner of Patents, Mail Stop: Amendment, P.O. Box 1450, Alexandria, VA 22313-1450.

Jacqueline E. Butler

Typed or Printed Name of Person Signing Certificate

Signature of Person Signing Certificate

January 18, 2005

Date of Signature

Respectfully submitted,

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PTO/SB/08a (05-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

SUPPLEMENTAL

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 09/921,118 Filing Date August 2, 2001 First Named Inventor Schultz, Roger L. Art Unit 2635 **Examiner Name** Dang, Hung Q. 990114 U1 USA

(Use as many sheets as necessary)

Attorney Docket Number 1 Sheet

U. S. PATENT DOCUMENTS									
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	AA	^{US-} 5,473,321	12-05-1995	Goodman, et al.					
	AB	^{us-} 5,479,440	12-26-1995	Esfahani					
	AC	^{US-} 5,490,121	02-06-1996	Gardner, et al.					
	AD	^{US-} 5,504,121	04-02-1996	West					
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FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	ריי				
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Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.